



# **Compliments and Complaints Policy and Procedure**

## 1. Our Aim

Think for the Future is committed to providing a quality service and working in an open and accountable way that builds trust and respect. One of the ways in which we can continue to improve our service is by listening and responding to the views of our schools and stakeholders, and in particular by responding positively to complaints, and by putting mistakes right.

Therefore we aim to ensure that:

- making a compliment or complaint is as easy as possible
- we welcome compliments, feedback and suggestions
- we treat a complaint as a clear expression of dissatisfaction with our service which calls for an immediate response
- we deal with it promptly, politely and, when appropriate, confidentially
- we respond in the right way for example, with an explanation, or an apology where we have got things wrong, or information on any action taken etc.
- we learn from complaints, use them to improve our service, and review annually our complaints policy and procedures

We recognise that many concerns will be raised informally, and dealt with quickly.

Our aims are to:

- resolve informal concerns quickly
- keep matters low-key
- enable mediation between the complainant and the individual to whom the complaint has been referred

This policy ensures that we welcome compliments and provide guidelines for dealing with complaints from our schools about our services and employees.

## 2. Definitions

A compliment is an expression of satisfaction about the standard of service we provide.

A complaint is defined as any expression of dissatisfaction of the standard of service provided by Think for the Future, however it is expressed. This would include complaints expressed face to face, via a phone call, in writing, via email or any other method. All staff should have sufficient knowledge to be able to identify an "expression of dissatisfaction" even when the word "complain" or "complaint" is not used.







## 3. Compliments



We are always glad to hear from schools who are satisfied with the service they have received. All compliments are recorded, acknowledged, and a copy is sent to the relevant service manager to provide feedback to the member of staff or service.

## 4. Complaints

The formal complaints procedure is intended to ensure that all complaints are handled fairly, consistently, and wherever possible resolved to the complainant's satisfaction.

### 5. Responsibilities

Think for the Future's responsibility will be to:

- acknowledge the formal complaint in writing via email; •
- respond within a stated period of time; •
- deal reasonably and sensitively with the complaint; and •
- take action where appropriate. .

A complainant's responsibility is to:

- bring their complaint, to Think for the Future's attention, normally within 2 weeks of the issue • arising;
- raise concerns promptly and directly with a member of staff in Think for the Future's management team
- explain the problem as clearly and as fully as possible, including any action taken to date;
- allow Think for the Future a reasonable time to deal with the matter, and .
- recognise that some circumstances may be beyond Think for the Future's control.

### 6. Confidentiality

Except in exceptional circumstances, every attempt will be made to ensure that both the complainant and Think for the Future maintain confidentiality. However, the circumstances giving rise to the complaint may be such that it may not be possible to maintain confidentiality (with each complaint judged on its own facts). Should this be the case, the situation will be explained to the complainant.

## 7. Complaints Procedure

Written records must be made by Think for the Future at each stage of the procedure.

#### Stage 1

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In the first instance, the Think for the Future Management team must establish the seriousness of the complaint. An informal approach is appropriate when it can be achieved. But if concerns cannot be satisfactorily resolved informally, then the formal complaints procedure should be followed.

#### Stage 2

If the complaint cannot be resolved informally, the complainant should be advised that a formal complaint may be made and the following procedure should be explained to them.

- a) A formal complaint can be made either verbally or in writing. If in writing the attached form should be used. If verbally, a statement should be taken by a member of the Management Team
- b) In all cases, the complaint must be passed on to Susanna Holt, School Services Director. In the event of a complaint about the School Services Director, the complaint should be passed to the Cherie White, Chief Executive Officer and if the complaint is about the Chief Executive Officer this must be passed on to Matthew Pownall, Chair of the Board of Directors.
- c) The School Services Director or Chief Executive Officer depending on the nature of the complaint, must acknowledge the complaint in writing within one week of receiving it.
- d) One of the above will investigate the complaint. Any conclusions reached should be discussed with the staff member involved and their Line Manager.
- e) The person making the complaint will receive a response based on the investigation within two weeks of the complaint being received. If this is not possible then a letter must be sent explaining why.

#### Stage 3

- a) If the complainant is not satisfied with the above decision, then the Executive Directors of Think for the Future will discuss.
- b) The Executive Directors will examine the complaint and may wish to carry out further interviews, examine files / notes. They will respond within four weeks in writing. Their decision will be final.

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# **COMPLAINTS FORM**

You may use this form to make a suggestion or to make a complaint about Think for the Future

We would like you to return this form as soon as possible.

Your Name .....

Address .....

.....

Telephone .....

Date of incident (if applicable)

Approximate time of incident (if applicable)

Suggestion / Complaint

What action would you like to be taken?

What times are convenient for you to have a meeting to discuss this?

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